

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application	10/008989	
	Filing Date	11/02/2001	
	First Named	FELT, et al.	
	Group Art Unit	1723	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission	12	Attorney Docket Number	BC-0264-US02

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Certificate of Express Mailing, Copy of Notice to File Missing Parts of Nonprovisional Appl., Declarations for Utility or Design Patent Appl., Powers of Attorney, Return card.
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Peter B. Scull, Reg. No. 37,932 (Customer No. 24994)
Signature	
Date	February 5, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: EV 008327834 US	
Typed or printed name	Yanmin Huang
Signature	
Date	02/05/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2002 <small>Patent fees are subject to annual revision.</small>		Complete if Known	
		Application Number	10/008989
		Filing Date	11/02/2001
		First Named Inventor	FELT, et al
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Examiner Name	Not yet assigned
		Group Art Unit	1723
TOTAL AMOUNT OF PAYMENT		Attorney Docket No.	BC-0264-US02

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																																																																																					
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None																																																																																																																																																																																							
<input checked="" type="checkbox"/> Deposit Account:		3. ADDITIONAL FEES																																																																																																																																																																																					
Deposit Account Number		Large Entity Small Entity																																																																																																																																																																																					
Deposit Account Name																																																																																																																																																																																							
The Commissioner is authorized to: (check all that apply)																																																																																																																																																																																							
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments																																																																																																																																																																																							
<input type="checkbox"/> Charge any additional fee(s) during the pendency of this application																																																																																																																																																																																							
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.																																																																																																																																																																																							
FEE CALCULATION																																																																																																																																																																																							
1. BASIC FILING FEE																																																																																																																																																																																							
<table border="1"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101</td><td>201</td><td>740</td><td>370</td><td>Utility filing fee</td><td></td></tr><tr><td>106</td><td>206</td><td>330</td><td>165</td><td>Design filing fee</td><td></td></tr><tr><td>107</td><td>207</td><td>510</td><td>255</td><td>Plant filing fee</td><td></td></tr><tr><td>108</td><td>208</td><td>740</td><td>370</td><td>Reissue filing fee</td><td></td></tr><tr><td>114</td><td>214</td><td>160</td><td>80</td><td>Provisional filing fee</td><td></td></tr></tbody></table>		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	101	201	740	370	Utility filing fee		106	206	330	165	Design filing fee		107	207	510	255	Plant filing fee		108	208	740	370	Reissue filing fee		114	214	160	80	Provisional filing fee																																																																																																																																																			
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																		
101	201	740	370	Utility filing fee																																																																																																																																																																																			
106	206	330	165	Design filing fee																																																																																																																																																																																			
107	207	510	255	Plant filing fee																																																																																																																																																																																			
108	208	740	370	Reissue filing fee																																																																																																																																																																																			
114	214	160	80	Provisional filing fee																																																																																																																																																																																			
SUBTOTAL (1)																																																																																																																																																																																							
2. EXTRA CLAIM FEES FOR UTILITY AND																																																																																																																																																																																							
<table border="1"><thead><tr><th>Extra Claims</th><th>Fee from below</th><th>Fee Paid</th></tr></thead><tbody><tr><td>Total Claims -20** = 0</td><td>X</td><td>0.00</td></tr><tr><td>Independent Claims -3** = 0</td><td>X</td><td>0.00</td></tr><tr><td>Multiple Dependent</td><td></td><td></td></tr></tbody></table>		Extra Claims	Fee from below	Fee Paid	Total Claims -20** = 0	X	0.00	Independent Claims -3** = 0	X	0.00	Multiple Dependent																																																																																																																																																																												
Extra Claims	Fee from below	Fee Paid																																																																																																																																																																																					
Total Claims -20** = 0	X	0.00																																																																																																																																																																																					
Independent Claims -3** = 0	X	0.00																																																																																																																																																																																					
Multiple Dependent																																																																																																																																																																																							
<table border="1"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>103</td><td>203</td><td>18</td><td>9</td><td>Claims in excess of 20</td><td></td></tr><tr><td>102</td><td>202</td><td>84</td><td>42</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>104</td><td>204</td><td>280</td><td>140</td><td>Multiple dependent claim, if not paid</td><td></td></tr><tr><td>109</td><td>209</td><td>84</td><td>42</td><td>** Reissue independent claims over original patent</td><td></td></tr><tr><td>110</td><td>210</td><td>18</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr></tbody></table>		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	103	203	18	9	Claims in excess of 20		102	202	84	42	Independent claims in excess of 3		104	204	280	140	Multiple dependent claim, if not paid		109	209	84	42	** Reissue independent claims over original patent		110	210	18	9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																			
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																		
103	203	18	9	Claims in excess of 20																																																																																																																																																																																			
102	202	84	42	Independent claims in excess of 3																																																																																																																																																																																			
104	204	280	140	Multiple dependent claim, if not paid																																																																																																																																																																																			
109	209	84	42	** Reissue independent claims over original patent																																																																																																																																																																																			
110	210	18	9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																																																			
SUBTOTAL (2)		\$0.00																																																																																																																																																																																					
**or number previously paid, if greater; For Reissues, see above																																																																																																																																																																																							
		3. ADDITIONAL FEES																																																																																																																																																																																					
		<table border="1"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105</td><td>205</td><td>130</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>130.00</td></tr><tr><td>127</td><td>227</td><td>50</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139</td><td>139</td><td>130</td><td>130</td><td>Non - English specification</td><td></td></tr><tr><td>147</td><td>147</td><td>2,520</td><td>2,520</td><td>For filing a request for ex parte reexamination</td><td></td></tr><tr><td>112</td><td>112</td><td>920*</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>113</td><td>1,840*</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>215</td><td>110</td><td>55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116</td><td>216</td><td>400</td><td>200</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117</td><td>217</td><td>920</td><td>460</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118</td><td>218</td><td>1,440</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128</td><td>228</td><td>1,960</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119</td><td>219</td><td>320</td><td>160</td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>220</td><td>320</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>221</td><td>280</td><td>140</td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>138</td><td>1,510</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>240</td><td>110</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141</td><td>241</td><td>1,280</td><td>640</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142</td><td>242</td><td>1,280</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>243</td><td>460</td><td>230</td><td>Design issue fee</td><td></td></tr><tr><td>144</td><td>244</td><td>620</td><td>310</td><td>Plant issue fee</td><td></td></tr><tr><td>122</td><td>122</td><td>130</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>123</td><td>50</td><td>50</td><td>Processing fee under 37 CFR § 1.17(q)</td><td></td></tr><tr><td>126</td><td>126</td><td>180</td><td>180</td><td>Submission of Information Disclosure Statement</td><td></td></tr><tr><td>581</td><td>581</td><td>40</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146</td><td>246</td><td>740</td><td>370</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149</td><td>249</td><td>740</td><td>370</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>179</td><td>279</td><td>740</td><td>370</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169</td><td>169</td><td>900</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr></tbody></table>				Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	105	205	130	65	Surcharge - late filing fee or oath	130.00	127	227	50	25	Surcharge - late provisional filing fee or cover sheet		139	139	130	130	Non - English specification		147	147	2,520	2,520	For filing a request for ex parte reexamination		112	112	920*	920*	Requesting publication of SIR prior to Examiner action		113	113	1,840*	1,840*	Requesting publication of SIR after Examiner action		115	215	110	55	Extension for reply within first month		116	216	400	200	Extension for reply within second month		117	217	920	460	Extension for reply within third month		118	218	1,440	720	Extension for reply within fourth month		128	228	1,960	980	Extension for reply within fifth month		119	219	320	160	Notice of Appeal		120	220	320	160	Filing a brief in support of an appeal		121	221	280	140	Request for oral hearing		138	138	1,510	1,510	Petition to institute a public use proceeding		140	240	110	55	Petition to revive - unavoidable		141	241	1,280	640	Petition to revive - unintentional		142	242	1,280	640	Utility issue fee (or reissue)		143	243	460	230	Design issue fee		144	244	620	310	Plant issue fee		122	122	130	130	Petitions to the Commissioner		123	123	50	50	Processing fee under 37 CFR § 1.17(q)		126	126	180	180	Submission of Information Disclosure Statement		581	581	40	40	Recording each patent assignment per property (times number of properties)		146	246	740	370	Filing a submission after final rejection (37 CFR § 1.129(a))		149	249	740	370	For each additional invention to be examined (37 CFR § 1.129(b))		179	279	740	370	Request for Continued Examination (RCE)		169	169	900	900	Request for expedited examination of a design application					
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																		
105	205	130	65	Surcharge - late filing fee or oath	130.00																																																																																																																																																																																		
127	227	50	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																			
139	139	130	130	Non - English specification																																																																																																																																																																																			
147	147	2,520	2,520	For filing a request for ex parte reexamination																																																																																																																																																																																			
112	112	920*	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																			
113	113	1,840*	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																			
115	215	110	55	Extension for reply within first month																																																																																																																																																																																			
116	216	400	200	Extension for reply within second month																																																																																																																																																																																			
117	217	920	460	Extension for reply within third month																																																																																																																																																																																			
118	218	1,440	720	Extension for reply within fourth month																																																																																																																																																																																			
128	228	1,960	980	Extension for reply within fifth month																																																																																																																																																																																			
119	219	320	160	Notice of Appeal																																																																																																																																																																																			
120	220	320	160	Filing a brief in support of an appeal																																																																																																																																																																																			
121	221	280	140	Request for oral hearing																																																																																																																																																																																			
138	138	1,510	1,510	Petition to institute a public use proceeding																																																																																																																																																																																			
140	240	110	55	Petition to revive - unavoidable																																																																																																																																																																																			
141	241	1,280	640	Petition to revive - unintentional																																																																																																																																																																																			
142	242	1,280	640	Utility issue fee (or reissue)																																																																																																																																																																																			
143	243	460	230	Design issue fee																																																																																																																																																																																			
144	244	620	310	Plant issue fee																																																																																																																																																																																			
122	122	130	130	Petitions to the Commissioner																																																																																																																																																																																			
123	123	50	50	Processing fee under 37 CFR § 1.17(q)																																																																																																																																																																																			
126	126	180	180	Submission of Information Disclosure Statement																																																																																																																																																																																			
581	581	40	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																			
146	246	740	370	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																			
149	249	740	370	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																			
179	279	740	370	Request for Continued Examination (RCE)																																																																																																																																																																																			
169	169	900	900	Request for expedited examination of a design application																																																																																																																																																																																			
		Other fee (specify)																																																																																																																																																																																					
		SUBTOTAL (3)																																																																																																																																																																																					
		\$130.00																																																																																																																																																																																					

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Peter B. Scull	Registration No. (Attorney/Agent)	37932
Signature		Telephone	303-205-2560
		Date	February 5, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



#3

Docket No. BC-0264-US02

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

In re Application of: **FELT, et al.**

Serial No.: **10/008,989**

Filed: **11/02/2001**

For: **Fluid Separation Devices, Systems and/or
Methods Using a Fluid Pressure Driven
and/or Balanced Approach**

Customer Number: **24994**

Group Art No: **1723**

Examiner: **Not yet assigned**

Box Missing Parts
Commissioner For Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION

Sir,

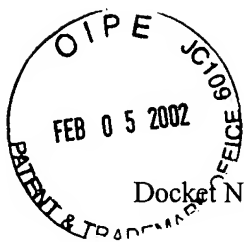
In response to the Notice to File Missing Parts mailed January 23, 2002, I have enclosed two executed Declarations and Powers of Attorney. Please charge the \$130.00 surcharge fee and any other fees applicable to the filing of these documents to deposit account no. 03-2316.

Respectfully submitted,

February 5, 2002
Date

Peter B. Scull
Peter B. Scull
Registration No: 37932
Gambro, Inc.
10810 W. Collins Ave.
Lakewood, Colorado 80215
303-205-2560

Attachment



HM

02-11-02

SECTOR /
#3

Docket No. BC-0264-US02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **FELT, et al.**

Serial No.: **10/008,989**

Filed: **11/02/2001**

For: **Fluid Separation Devices, Systems and/or
Methods Using a Fluid Pressure Driven
and/or Balanced Approach**

Customer Number: **24994**

Group Art No: **1723**

Examiner: **Not yet assigned**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

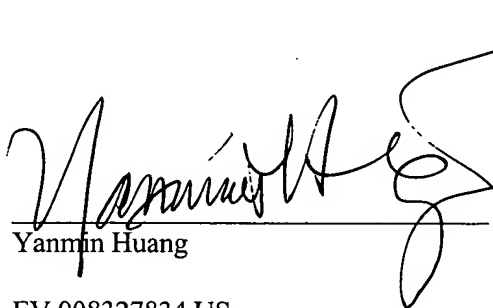
I hereby certify that the following documents:

1. **Certificate of Express Mailing (1 page)**
2. **Transmittal Form (1 page)**
3. **Fee Transmittal for FY2002 with Deposit Account No. and a duplicate (2 pages)**
4. **Copy of Notice to File Missing Parts of Nonprovisional Application (1 page)**
5. **Response to Notice to File Missing Parts of Nonprovisional Application (1 page)**
6. **~~Declarations for Utility or Design Patent Application (4 pages)~~**
7. **Power of Attorney executed by Thomas J. Felt (1 page)**
8. **Power of Attorney executed by Dennis J. Hlavinka (1 page)**
9. **Return Card**

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

on this date of 02 / 05 / 2002



Yanmin Huang

EV 008327834 US
Express Mailing Label Number

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/008,989	11/02/2001	Thomas J. Felt	BC-0264-US02

CONFIRMATION NO. 5833

FORMALITIES LETTER



OC00000007346849

24994
GAMBRO, INC
PATENT DEPARTMENT
10810 W COLLINS AVE
LAKEWOOD, CO 80215

Date Mailed: 01/23/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

B7/abfews1b

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/13/2002 SZENDIE1 00000027 032316 10008989

01 FC:105 130.00 CH